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ASA

Supplerende oplysninger til inceptionrapporterne for Building Stronger Universities in Developing Countries (BSU)

Udenrigsministeriet har ved mail af 30. november med senere uddybning den 2. december bedt om supplerende oplysninger om nedenstående emner.

Budgetmæssige forhold

1. *"En samlet og overskuelig oversigt over budgettet for hver platform fordelt på WP, hvor a) egenfinansieringen og b) fordelingen mellem danske og sydbaserede forskningsinstitutioner også er inkluderet." ... "De samlede budgetter for hver platform, for hele perioden opdelt i hovedbudgetlinjerne skal samles i et overordnet budget, som også medtager "Central administration, monitoring, reviews etc."*

De ønskede tabeller vedlægges. Der er foretaget mindre justeringer af budgetterne i forhold til de fremsendte inceptionrapporter med en harmonisering af satsen for ekstern revision til 15.000 kr. pr. partner og andre mindre ændringer. Budgetterne er derimod ikke justeret for UMs og DFCs beslutning – udmeldt den 14. dec. - om et samlet maksimum for revision på 40.000 kr. og 20.000 kr. per platform for platforme med henholdsvis 20 mio. kr. og 8 mio. kr. Disse ændringer vil snarest blive indarbejdet.

Platformene har budgetteret med udgangspunkt i fælles standardsatser, herunder de af DFC fastlagte satser. De lokale aktivitetsudgifter på Syd-delen i budgetterne er vurderet i tæt konsultation med de enkelte syd partnere. Hvor der er tale om DFC involvering er de gældende takster anvendt. Jf. svar under punkt 3.1.

Fordelingen af medfinansieringen er estimeret. Den endelige fordeling vil fremgå af rapporteringen fra platformene.

2. *"Tydelig angivelse af, hvilke platforme der finansierer de aktiviteter, som er fælles for flere platforme."*

(Skrevet på engelsk med henblik på formidling til partnerne i syd.)

The most obvious places to coordinate things are where we work with the same South Partners and where we have the same kind of activities. The BSU secretariats in Denmark is continuously coordinating these things to the extent possible given that this has to be a partnership with strong ownership in South.

Geographic collaboration

In terms of South Partners, there is 100 % match between BSUEC and GEP. Moreover it is also the two platforms where the faculties and departments they collaborate with overlap the most. As a consequence, procedures and timelines have been coordinated to the extent possible between the two platforms, as can be seen from the

simultaneously launching of the Matchmaking Seminars using the same formats and guidelines.

There is 100 % country overlap between BSUEC/GEP and PHH, however the South Partners overlap is only in Ghana (50 %). As PHH works with different faculties than BSUEC/GEP, coordination is less relevant, except for overlapping activities.

Activity collaboration

There is especially one activity that cuts across all four platforms in various forms, namely PhD Supervisor Training of Trainers (ToT) and training of local staff. This effort is being fully coordinated among the four platforms and the cost of executing this institutional capacity building effort is suggested shared in the following way (table 1).

Table 1. BSU coordination of PhD Supervisor ToT

University in Africa/ BSU Platform	No. of participants	Payment of Danish University involvement	Payment of local cost for staff involvement, materials and venue	Comments
University of Ghana				
GEP	10 – 15	2 person months	Yes	
BSUEC	10	-	Yes	
PHH	10 – 15	1 person months	Yes	
KNUST, Ghana				
GEP	10 – 15	2 person months	Yes	
BSUEC	10	-	Yes	
PHH	10 – 15	½ person months	Yes	
SUA, Tanzania				
GEP	-	-	-	Trainers already available through STRAPA/ PRE-PARE-PhD
BSUEC	10 – 20	1 person months	Yes	Will utilise available local trainers
UDSM, Tanzania				
GEP	10	2 person months	Yes	
BSUEC	-	-		South partner did not request this activity
KCMC/NIMR, Tanzania				
PHH	10 - 20	1 person months	Yes	
SUZA/ZCHS, Tanzania				
Maseno, Kenya				
PSDR	(5)	-	Yes	Invited to participate at SUA or USDM, not discussed with South Partner yet
Gulu, Uganda				
PSDR	(5)	-	Yes	Invited to participate at SUA or USDM, not discuss with South Partner yet

Other activities such as Fund Raising and Proposal Writing ToT might be coordinated between PHH and GEP. Also generic PhD courses might offer opportunities for further platform coordination. There will be an ongoing dialogue between the four platforms to ensure continued coordination.

3.1. *”Det er en klar forventning, at platformene følger DFCs vejledning/retningslinjer som f.eks. på revisionsudgifterne og løn- og udgiftsniveauer.”*

Vi har haft kontakt med DFC om satsen for revision og DFC-satser for andre aktiviteter. Det skal bemærkes, at der i budgetterne er anvendt samme satser for hele perioden 2011 – 2013, skønt satserne for 2013 endnu ikke er kendte, ligesom nogle af satserne for 2012 først er ved at blive meldt ud.

Ved implementeringen vil de godkendte DFC-satser for de pågældende år blive anvendt.

3.2. *”Den centrale bevilling til DU kan heller ikke både modtage OH på 20 % og bidrag til ”operational costs”.*

Dette er taget til efterretning og operational costs er udgået, jf. tabel 4.

3.3. *”Der må foreligge en tilkendegivelse om, at DFCs bestemmelser vil blive fulgt, som man kan henvise til efterfølgende.”*

Ved at underskrive godkendelsen af bevillingstilsagnet bekræftede formanden for Rektorkollegiet, at Danske Universiteter er indforstået med bevillingsskrivelsens betingelser, herunder ”Generel vejledning for bevillinger under ”Building Stronger Universities in Developing Countries” støttet gennem Danmarks internationale udviklingsamarbejde”. Dette tilsagn står stadig ved magt.

Supplerende information vedrørende stipendier

4. *”Oplysninger i oversigtsform per platform om, hvor mange stipendier og individuelle forskningsprojekter, platformen har.”*

Table 2. Overview of PhD Scholarships and Collaborative projects within the platforms

Platform for Environment and Climate

	PhD Scholarships	Research staff missions, S → N
KNUST	2	5
SUA	2	5
UDSM	2	5
UG	2	5
Total	8	20

Platform for Growth and Employment

	PhD Scholarships	Joint research proposals*	Collaborative research projects**
KNUST	4	3	2
SUA	4	3	5
UDSM	4	3	2
UG	4	3	2
Total	16	12	11

* GEP finds it important that the capacitated institutions utilise the international collaboration with Denmark to initiate new research activities and jointly seek for external funding. Low capacity to attract external research funding at South universities is identified as a major obstacle for staff development, research based education and work motivation. The funding at the Danish side is mainly to secure resources for joint face to face project proposal development sessions/write-shops. The amounts set aside per proposal for Danish involvement range between 50,000 – 60,000 DKK.

**The south partners lack seed money to develop research ideas for the same reasons as above and has requested for this modality within BSU. GEP has supported this request, again with the majority of the funds allocated in the South. This seed money is not for individual detached projects but for joint institutionally anchored strategic research initiatives. The amounts set aside per project for Danish involvement is 60,000 DKK, again to secure active involvement of the Danish researchers.

Platform for Human Health

	PHD Scholarships	Masters Stipends	Research Activities*
Tanzania mainland, KCMC	2	10	4
Zanzibar	1	2	8
Ghana, UG	4		4
Ghana, KNUST	2		2
Total	9	12	18

* PHH involves in research activities in Phase One outside the PhD mainly with seed money to initiate and stimulate research hand in hand with institutional capacity building support.

Projects vary significantly in character and budget size from DKK 20.000 in Zanzibar (for individual field work studies in research methodology course for ZCHS and SUZA staff) to DKK 50.000 in Ghana (in support of pilot studies by post-doctoral staff essential for development of successful funding proposal, thus with a dual function of supporting an enabling research environment and improving external research funding) to DKK 150.000 in Tanzania mainland (pilot research grants provided to senior staff employed at KCMC under PHH as an important element in retention strategy).

Platform for Stability, Democracy and Rights

	PhD Scholarships	Staff mobility (S → N)*	Small-scale research projects*
Gulu University	3	3	6
Tribhuvan University	3	3	10
Maseno University	3	3	3-6
Total	9	9	19-22

*The exact number of staff mobility grants and small-scale research projects will depend on the applications submitted by researchers from South partner universities. The above figures are tentative.

Small-scale research projects are carried out by researchers from South partner universities. Danish researchers may be involved as resource persons / supervisors where relevant. Average budget per research project is approximately DKK 15.000.

5. *"Indikation af DUs forpligtelse til at dække færdiggørelse af ph.d. studier, der måtte ligge uden for UM's bevilling."*

Den nuværende bevilling er toårig, og de fleste ph.d. studier tager 3 år. Danske Universiteter forventer, at der bliver en næste fase, hvorunder ph.d. studierne kan færdiggøres. Rektorerne har tilkendegivet, at man, såfremt der mod forventning ikke bliver en næste fase, er indstillet på at garantere finansieringen af det følgende år efter den to-årige første fase af BSU. Imidlertid viser det sig, at ph.d. stipendiaterne i praksis højst vil nå at få 1½ års studier i BSUs første fase, hvorfor der typisk vil blive

1½ år tilbage. Rektorkollegiet har indikeret, at man i givet fald forventer, at de enkelte universiteter vil finde løsninger på problemet med at dække, hvad der måtte ligge ud over det første, ekstra år.

Bemanding af platformene på den danske side

6. *"Vi konstaterer, at der ikke er inkluderet cv-er i inceptionrapporterne og forudsætter, at de personer, som stod bag den oprindelige ansøgning i maj 2011, fortsat vil være nøglepersonerne i platformene. Vi vil gerne orienteres om den endelige bemanding i form af cv-er på de danske forskere, som bliver involveret i hver af platformene fremover udover de oprindelige forskere."*

De oprindelige forskere, der stod bag ansøgningen i maj 2011, er fortsat nøglepersoner. For øjeblikket foregår der en proces med udpegning af de forskere, der kommer til at løse de enkelte opgaver. CV'er for de nye forskere, der vil komme til at løse opgaver, vil blive fremsendt med den ordinære rapportering.

7. *"I forhold til den match-making proces, der er annonceret for at bemande de konkrete aktiviteter, forventer vi at de oprindelige vurderingskriterier, som blev anvendt af det internationale panel, fortsat er grundlaget for deltagelse i platformen. Vækst og beskæftigelsesplatformen har angivet en række kriterier, som ikke er i tråd med de oprindelige kriterier, idet der lægges markant højere vægt på akademisk erfaring end på erfaring med internationalt samarbejde. Det er ikke i orden, og vi ønsker ikke at se, at forskere uden relevante internationale erfaringer bliver dem, der kommer til at tegne det faktiske samarbejde."*

Platformene ønsker som udgangspunkt ikke at bemande opgaverne med forskere uden relevant international erfaring. I nogle tilfælde vil der dog kunne blive tale om at lade forskere med begrænset international erfaring indgå i erfarne teams, såfremt de bidrager med anden, særlig relevant ekspertise. En sådan organisering vil gøre det muligt at inddrage nye, talentfulde forskere i den danske ressourcebase.

Table 3. Total budget for all platforms and central coordination, in DKK

Budget heading	Environment and Climate	Growth and Employment	Human Health	Stability, Democracy and Rights	Central coordination	Total
1. Salaries and Emoluments	2.784.000	6.672.400	7.026.801	2.583.400	1.547.500	20.614.101
2. Taxameter/Educational Grants	571.200	768.000	1.877.318	179.000	-	3.395.518
3. Expenses for Trips Abroad and Fieldwork	688.830	5.017.320	5.114.716	1.908.000	1.194.135	13.923.001
4. Project and Research Equipment		-	408.000	521.000	-	929.000
5. Project and Research Materials	1.466.000	3.581.960	1.857.200	318.510	-	7.223.670
6. Publication, Dissemination and Communication	150.000	546.000	684.000	302.000	578.930	2.260.930
Subtotal (headings 1-6)	5.660.030	16.585.680	16.968.035	5.811.910	3.320.565	48.346.220
7. Administration fee (in Denmark) 20%	658.566	1.636.656	1.650.940	663.800	664.113	5.274.075
8. Administration fee (partners in South) 7%	165.704	579.768	609.934	174.504	-	1.529.910
9. Study stays in Denmark (DFC expenses)	1.332.260	1.096.000	635.905	1.287.000	-	4.351.165
10. External audit (final accounts)	75.000	75.000	75.000	60.000	15.000	300.000
Total amount (headings 1-10)	7.891.560	19.973.104	19.939.814	7.997.214	3.999.678	59.801.370

Table 4. Budget for all platforms segregated on workpackages and North - South, incl. estimated distribution of co-funding, in DKK.

	Environment and Climate			
Main activity/Item	South Partners	DK univ.	Total	Co-funding
WP 1: Strengthening of PhD education	220.000	1.177.210	1.397.210	754.000
WP 2: Increasing the number of staff with PhD	1.291.200	841.280	2.132.480	696.000
WP 3: Strengthening of research collaboration	436.000	259.910	695.910	1.334.000
WP 4: Disseminating research knowledge	100.000	86.320	186.320	
WP 5: Platform governance and management	320.000	928.110	1.248.110	1.575.184
Administration fee (OH)	165.704	658.566	824.270	
External audit	60.000	15.000	75.000	
Study stays in Denmark	1.332.260		1.332.260	
Grand total	3.925.164	3.966.396	7.891.560	4.359.184

	Growth and Employment			
Main activity/Item	South Partners	DK univ.	Total	Co-funding
WP 1: Strengthening of PhD education	1.660.000	2.978.800	4.638.800	1.610.000
WP 2: Increasing the number of staff with PhD	2.342.400	1.658.160	4.000.560	1.390.000
WP 3: Strengthening of research collaboration	2.860.000	1.641.080	4.501.080	2.300.000
WP 4: Disseminating research knowledge	340.000	206.000	546.000	230.000
WP 5: Platform governance and management	1.080.000	1.819.240	2.899.240	3.070.000
Administration fee (OH)	579.768	1.636.656	2.216.424	
External audit	60.000	15.000	75.000	
Study stays in Denmark	1.096.000		1.096.000	
Grand total	10.018.168	9.954.936	19.973.104	8.600.000

Note: Budget for Study stays in Denmark is included in the WP budgets.

	Human Health			
Main activity/Item	South Partners	DK univ.	Total	Co-funding
WP 1: Research education strengthened	3.893.113	3.521.167	7.414.280	1.500.000
WP 2: Enabling research environment	2.672.671	2.136.000	4.808.671	1.000.000
WP 3: Institutional capacity building	2.147.551	2.597.533	4.745.084	900.000
WP 4: Platform governance and management/support unit			0	2.300.000
Affiliated research projects at partner institutions			0	3.000.000
Administration fee (OH)	609.933	1.650.940	2.260.873	
External audit	60.000	15.000	75.000	
Study stays in Denmark	635.905		635.905	
Grand total	10.019.173	9.920.640	19.939.813	8.700.000

Note: Costs for platform governance and administration is allocated on WP 1 - 3.

	Stability, Democracy and Rights			
Main activity/Item	South Partners	DK univ.	Total	Co-funding
WP 1: Strengthening institutional research capacity	2.151.510	1.355.000	3.506.510	
WP 2: Strengthening institutional capacity in the areas of research-based education	85.000	75.000	160.000	
WP 3: Strengthening institutional capacity in the areas of research dissemination	85.000	-	85.000	
WP 4: Workshop to prepare activity plan for 2013-15	50.000	235.000	285.000	
WP 5: Project coordination, incl. secretariat	121.400	1.654.000	1.775.400	
Other co-funding				3.319.000
Administration fee (OH)	174.504	663.800	838.304	
External audit	45.000	15.000	60.000	
Study stays in Denmark	1.287.000		1.287.000	
Grand total	3.999.414	3.997.800	7.997.214	3.319.000

Note:

Co-financing for PSDR is indicated as the total amount required and not per WP. Indicating the amount per WP would result in allocating additional DKK 1.654.000 for project coordination. PSDR will prefer to allocate a smaller part of the co-financing to administration and increase the funds for activities, and thus boost the planned activities or increase the number of activities.

	Central administration, monitoring and preparations of next phase
Main activity/Item	Total
1. Administration and coordination (salary, travel expenses etc.)	1.605.400
2. Cross-cutting analysis of the achievements on the immediate objective (consultancy fee, including travel and accommodation)	266.875
3. Workshop on institutional capacity building in the HE sector, North-South participants (including travel and accommodation)	390.020
4. Conference with presentation of results and findings and identification of areas for further collaboration	411.930
5. Publications with findings and results; information folder and communication; lump sum for technical assistance, printing and distribution	132.500
6. Preparation of proposal for 2nd phase (fact-finding and needs assessment, lessons learnt & formulation workshops)	423.840
7. Review of proposals (fee, travel and accommodation)	100.000
Administration fee (OH)	666.113
External audit	-
Grand total	3.996.678

END